

# SIMON KOGAN, ESQ.

## SECURITIES LITIGATION AND ARBITRATION

Simon Kogan, a resident of New York City, is a senior litigator with over 20 years experience in complex cases from inception to trial and beyond. He has personally managed dozens of arbitration and related matters for both brokers and public customers and generated over \$400,000 per year in annual billings. Mr. Kogan has a long history as a partner in boutique securities firm where developed and maintained firm's intranet and use of technology for litigation support. Through his private practice and in cooperation with other lawyers, Mr. Kogan handles civil litigation and private securities matters, including private placements and reverse mergers. From 1993-95, Mr. Kogan taught legal writing as an Adjunct Professor of Law at New York Law School.

### *Key Accomplishments*

Throughout his log career as a in the boutique securities practice, Mr. Kogan wrote the portion of brief resulting in the ultimate repeal of the NASD's professional trading rules. Successfully defended SEC enforcement actions and was awarded fees under the Equal Access to Justice Act. He also won reversal of sanctions in NASDR Expedited Remedial Proceeding.

### *Education*

- LLM (Corporation Law) NYU School of Law 1986
- JD, cum laude, New York Law School 1983
- BA, History, Brooklyn College of the City University of New York 1980

### *Bar Memberships & Professional Affiliations*

- New York State
- United States Supreme Court
- United States Courts of Appeals Second, Third and DC Circuit
- United States District Courts for the Southern, Eastern, and Northern District of New York and the Northern District of Texas
- American Bar Association
- New York County Lawyers Association
- NASDADR Panel of Arbitrators

### *Publications*

*Analyst Liability for Fraud and Negligence in the Modern Era*, Journal of Investment Compliance, Fall 2002; *A Public Customer's Guide to Securities Arbitrations*, Richmond County Bar Journal, Fall, 2008

## OSC COUNSEL PROFILE

Off Site Counsel is an online office building that promotes, markets, and facilitates the work of freelance lawyers and the law firms that retain them. The OSC approach is built on flexibility and efficiency in the practice of law as enabled by modern technology and integrated into the proud legal tradition.